

Form 9, Transfer of Operatorship

Form and Template Instructions

February 23, 2021



COLORADO
Oil & Gas Conservation
Commission
Department of Natural Resources

COGCC Staff

Form 9, Transfer of Operatorship

- The Mission Change Rulemaking replaced the old “Change of Operator” process with a new “Transfer of Operatorship” process.
- New Rule 218 includes details of the new process and of the new Form 9.
- ***New components of the transfer process:***
 1. Two forms: Form 9 Intent and Subsequent
 2. Confidentiality for a Form 9 - Intent because it is submitted prior to transfer
 3. List all ***related Wells & Facilities & Incidents that will not be transferred to the Buying Operator***
 4. Identify the operator (Selling or Prior) with compliance responsibility for ***related Wells & Facilities & Incidents that will not be transferred to the Buying Operator***
 5. Compliance Plan for Transferred Items that do not comply with the Commission’s current Rules in effect at the time of the transfer
 6. Buying Operator notifies Relevant Local Government(s) in writing of all transferred items



Rule 218.b: Form 9 - Intent Process

1. Selling Operator files Form 9 - Intent 30 days (if possible) prior to transfer with:
 - a) Anticipated date of transfer.
 - b) Estimated amount of Financial Assurance the Buying Operator will provide to COGCC prior to transfer.
 - c) List of Wells, Facilities, and Incidents proposed for transfer.
 - d) List of all Wells, Facilities, and Incidents that are related to the proposed transfer items but will not be transferred.
 - e) Attestation of Form 9 - Intent information signed by both Selling and Buying Operators.
2. COGCC Staff Reviews:
 - a) Buying Operator's estimated Financial Assurance for compliance with 700 Series requirements
 - Staff will notify Buying Operator of inadequacies.
 - b) Lists of Wells, Facilities, and Incidents proposed for transfer and related, not proposed for transfer
 - Staff will return form to draft if list of related, not proposed for transfer is incomplete.
 - c) Attachments
 - Staff will return form to draft if attachments are inadequate or missing.
3. Form 9 - Intent remains "In Process" when complete.
4. When necessary, Buying Operator provides additional Financial Assurance to COGCC.



Rule 218.d: Form 9 - Subsequent Process (1 of 2)

1. Buying Operator files Form 9 - Subsequent within 7 days after transfer with:
 - a) Effective date of transfer.
 - b) Buying Operator's Financial Assurance.
 - c) List of Wells, Facilities, and Incidents transferred.
 - d) List of all Wells, Facilities, and Incidents related to the transferred items that were not transferred.
 - **Operator (Prior or Selling) responsible for compliance is identified.**
 - e) List of Wells, Facilities, and Incidents proposed for transfer on the Form 9 - Intent that were not actually transferred.
 - **Operator (Prior or Selling) responsible for compliance is identified.**
 - f) Buying Operator's acknowledgment of subsequent liability.
 - g) Attestation of Form 9 - Subsequent information signed by both Selling and Buying Operators.
 - h) When necessary, attestation of retained compliance responsibility signed by Selling Operator.
 - i) Buying Operator's attestation of relevant local government notification.
 - j) When necessary, a compliance plan for transferred Permits not in compliance with Rules in effect at the time of transfer.



Rule 218.d: Form 9 - Subsequent Process (2 of 2)

2. COGCC Staff Reviews:

- a) Buying Operator's Financial Assurance for compliance with 700 Series requirements.
- b) List of Wells, Facilities, and Incidents that were transferred.
- c) Lists of Wells, Facilities, and Incidents related to the transferred items that were not transferred.
 - Staff will return form to draft if list is incomplete.
- d) Lists of Wells, Facilities, and Incidents proposed for transfer on the Intent that were not transferred.
 - Staff will return form to draft if list is incomplete.
- e) Status of transferred Permits' compliance with Rules in effect at the time of transfer.
- f) Attachments
 - Staff will return form to draft if attachments are inadequate or missing.

3. Transfer of Operatorship Approval when:

- a) Form 9 - Intent and Form 9 - Subsequent are complete and accurate.
- b) Buying Operator's Financial Assurance complies with 700 Series requirements.
- c) All lists and attachments are complete.
- d) All Transferred Permits comply with Rules in effect at the time of transfer or a satisfactory Compliance Plan has been submitted.

4. Form 9 - Intent and Form 9 - Subsequent are approved simultaneously.



Form 9, Transfer of Operatorship - Approved

1. Within 30 days of Form 9 approval: Buying Operator submits Form 10, Certificate of Clearance to designate their Transporters and Gatherers for all transferred Wells. (Rule 219.d.)
2. Within 60 days of Form 9 approval: Buying Operator submits a supplemental Form 19 to designate the responsible Operator for each transferred open Spill and Release. (Rule 912.f.)
3. Within 60 days of Form 9 approval: Buying Operator submits a supplemental Form 27 to designate the responsible Operator for all transferred open Remediation projects. (Rule 913.g.)



Form 9, Transfer of Operatorship - *NOT* Approved

1. If the transfer does not occur after a Form 9 - Intent is submitted:
 - Selling Operator notifies COGCC staff via email.
 - Staff withdraws the Form 9 - Intent.
2. The Director may deny the Form 9 - Intent and the Form 9 - Subsequent and the selling Operator will remain responsible for compliance if:
 - The Form 9s, including attachments, are not complete and accurate
 - The Buying Operator does not provide adequate Financial Assurance
 - The Buying Operator does not submit the Form 9 - Subsequent within 120 days after the anticipated date of transfer on the Form 9 - Intent



Transfer of Operatorship

Form 09

Operator Num:

Name:

Submitting Operator: Selling Buying

Form 9 Type: Intent Subsequent

Create Form Cancel

ONLY the Selling Operator can submit the Form 9 - Intent.

Transfer of Operatorship

Form 09

Operator Num:

Name:

Submitting Operator: Selling Buying

Form 9 Type: Intent Subsequent

Doc # of Form 9 Intent:

Create Form Cancel

ONLY the Buying Operator can submit the Form 9 - Subsequent.

- The eForm Document # of the Form 9 - Intent (In Process) is required.

Form 9, Transfer of Operatorship


Intent - Operators Info Tab

Operators Info

Type of Form 9, Transfer of Operatorship: Intent Subsequent Intent #

Operators Info

SELLING OPERATOR INFORMATION

Operator Number:  Suff: This Operator Is Submitting this Form

Operator Name:

Address:

City:

State:

Zip Code:


CONTACT INFORMATION

Contact Name: (First Name) (Last Name)

Phone:

Email:

BUYING OPERATOR INFORMATION

Operator Number:  Suff: This Operator Is Submitting this Form

Operator Name:

Address:

City:

State:

Zip Code:

CONTACT INFORMATION

Contact Name: (First Name) (Last Name)

Phone:


Email:

The “Type of Form 9” is autopopulated from the selection on the Wizard to create the form. (It cannot be edited.)

The “Selling Operator Information” is autopopulated from the Wizard to create the form. (It cannot be edited.)

The Selling Operator “Contact Information” is required.

The “Buying Operator Information” is required.

Click the “Look Up Table” symbol  to open the operator list and select the Buying Operator.

The Buying Operator “Contact Information” is required.

Form 9, Transfer of Operatorship

Intent - Transfer Info Tab

Transfer Info

Transfer Info

Transfer Dates

Form 9 Intent - Anticipated Date of Transfer:

Form 9 Subsequent - Effective Date of Transfer:

Confidentiality

Transfer is Confidential:

Financial Assurance

Form 9 Intent - Estimated amount of Financial Assurance the Buying Operator will submit prior to anticipated date of transfer: \$

Form 9 Subsequent - The Buying Operator's Financial Assurance:

Surety ID	Bond Type	Amount

The “Anticipated Date of Transfer” is required.

The “Effective Date of Transfer” is grayed out.
➤ It is not entered on the Form 9 - Intent.

The “Confidentiality” answer (YES or NO) is required.

The “Buying Operator’s Estimated Financial Assurance” is required.

The “Buying Operator’s Financial Assurance” is grayed out.
➤ It is not entered on the Form 9 - Intent.



Attachments

Name/Description:

1 Total count: 2

Name/Description
FORM 9 INTENT ATTESTATION
TRANSFER OF OPERATORSHIP COMPLIANCE PLAN

FORM 9 INTENT ATTESTATION is required. (Rule 218.b.(6).)

An attestation signed by the Selling Operator and the Buying Operator attesting to all contents of the Form 9 - Intent.

TRANSFER OF OPERATORSHIP COMPLIANCE PLAN is conditional.

(Rule 218.e.(2).)

If a Permit described in Rule 218.d.(1).B.i. is not in compliance with the Act, the Commission's Rules, and all terms and conditions of existing Permits and Commission orders on the date of transfer, the Director has determined that the Selling Operator, Buying Operator, or Prior Operator has submitted a satisfactory plan to bring such Permit into compliance.

NOTE: Do NOT attach the completed templates. The eForm system will create an attachment from each uploaded list.

Form 9, Transfer of Operatorship


Subsequent - Operators Info Tab

Operators Info

Type of Form 9, Transfer of Operatorship: Intent Subsequent Intent # 402165343

Operators Info

SELLING OPERATOR INFORMATION

Operator Number:  Suff: This Operator Is Submitting this Form

Operator Name:

Address:


City: State: Zip Code:

CONTACT INFORMATION

Contact Name: (First Name) (Last Name)

Phone: Email:

BUYING OPERATOR INFORMATION

Operator Number: 5  Suff: This Operator Is Submitting this Form

Operator Name: COLORADO OIL & GAS CONSERVATION COMMISSION

Address: 1120 LINCOLN ST SUITE 801

City: DENVER State: CO Zip Code: 80203

CONTACT INFORMATION


Contact Name: (First Name) (Last Name)

Phone: Email:

The “Type of Form 9” is autopopulated from the selection on the Wizard to create the form. (It cannot be edited.)

The “Document Number of Corresponding Form 9 - Intent” is autopopulated as entered on the Wizard to create this subsequent form. (It cannot be edited.)

The “Selling Operator Information” is required.

Click the “Look Up Table” symbol  to open the operator list and select the Selling Operator.

The Selling Operator “Contact Information” is required.

The “Buying Operator Information” is autopopulated from the Wizard to Create the form. (It cannot be edited.)

The Buying Operator “Contact Information” is required.

Form 9, Transfer of Operatorship

Subsequent - Transfer Info Tab

Transfer Info

Transfer Info

Transfer Dates

Form 9 Intent - Anticipated Date of Transfer: 15

Form 9 Subsequent - Effective Date of Transfer: 15

Confidentiality

Transfer is Confidential:

Financial Assurance

Form 9 Intent - Estimated amount of Financial Assurance the Buying Operator will submit prior to anticipated date of transfer: \$

Form 9 Subsequent - The Buying Operator's Financial Assurance:

Surety ID	Bond Type	Amount	
<input type="text"/>	<input type="text"/>	<input type="text"/>	

The “Anticipated Date of Transfer” is autopopulated from the Form 9 - Intent. (It cannot be edited.)

The “Effective Date of Transfer” is required.

The “Confidentiality” answer is autopopulated from the Form 9 - Intent. (It cannot be edited.)

The “Buying Operator’s Estimated Financial Assurance” is autopopulated from the Form 9 - Intent. (It cannot be edited.)

The “Buying Operator’s Financial Assurance” is required.



Subsequent Liability

Subsequent Liability

Rule 218.d.(1).D.i.

"For Transferable Items listed in Rule 218.d.(1).B.i an acknowledgment that upon the effective date of transfer, that the Buying Operator assumes all responsibility for compliance with the Act, the Commission's Rules, and all terms and conditions of existing Permits and Commission orders for the Transferable Items."

In checking this box the Buying Operator's acknowledges the subsequent liability pursuant to Rule 218.d.(1).D.i.

Rule 218.d.(1).D.ii.

"For Transferable Items listed in Rule 218.d.(1).B.ii or iii, an acknowledgment that the Buying Operator may be or may become responsible for compliance with the Act, the Commission's Rules, and all terms and conditions of existing Permits and Commission orders if the Buying Operator takes any action, or fails to take any action, that would cause such Transferable Item to be out of compliance with the Act, the Commission's Rules, and all terms and conditions of existing Permits and Commission orders."

In checking this box the Buying Operator's acknowledges the subsequent liability pursuant to Rule 218.d.(1).D.ii.

Rule 218.d.(1).D.iii.

"For Transferable Items not listed in Rule 218.d.(1).B.i-iii but Related in the Commission's records, an acknowledgment that the Commission will presume that the Transferable Item was transferred, and that the Buying Operator is responsible for compliance with the Act, the Commission's Rules, and all terms and conditions of existing Permits and Commission orders for the Transferable Items."

In checking this box the Buying Operator's acknowledges the subsequent liability pursuant to Rule 218.d.(1).D.iii.

Per Rule 218.d.(1).D the Buying Operator must acknowledge responsibility for compliance.

Checking the boxes acknowledges the Buying Operator's subsequent liability for:

1. Transferable Items
2. Action or inaction causing lack of compliance
3. Related Transferable Items *not listed*

The Buying Operator must check all three boxes for the Form 9 - Subsequent to be complete.



Form 9, Transfer of Operatorship

Subsequent - Attachments

Attachments

Name/Description:

1 Total count: 4

Name/Description
BUYER NOTIFIED LOCAL GOVT ATTESTATION
FORM 9 SUBSEQUENT ATTESTATION
SELLER RETAINS RESPONSIBILITY ATTESTATION
TRANSFER OF OPERATORSHIP COMPLIANCE PLAN

NOTE: Do NOT attach the completed templates. The eForm system will create an attachment from each uploaded list.

BUYER NOTIFIED LOCAL GOVT ATTESTATION is required. (Rule 218.d.(1).C.iii.)

An attestation signed by the Buying Operator that the Buying Operator notified the Relevant Local Government in which any Transferable Item is located of the completed transaction in writing.

FORM 9 SUBSEQUENT ATTESTATION is required. (Rule 218.d.(1).C.i.)

An attestation signed by the Selling Operator and the Buying Operator attesting to all contents of the Form 9 - Subsequent.

SELLER RETAINS RESPONSIBILITY ATTESTATION is conditional. (Rule 218.d.(1).C.i.)

If applicable, an attestation signed by the Selling Operator attesting that the Selling Operator retains responsibility for compliance with the Commission's Rules for any Transferable Item listed in Rules 218.d.(1).B.ii.aa [related] or 218.d.(1).B.iii.aa [not transferred].

TRANSFER OF OPERATORSHIP COMPLIANCE PLAN is conditional. (Rule 218.e.(2).)

If a Permit described in Rule 218.d.(1).B.i. is not in compliance with the Act, the Commission's Rules, and all terms and conditions of existing Permits and Commission orders on the date of transfer, the Director has determined that the Selling Operator, Buying Operator, or Prior Operator has submitted a satisfactory plan to bring such Permit into compliance.



Rule 218.a.(1) defines “Transferrable Items” as:

- A. Approved, unexpired Form 2, Application for Permit; Form 2A; Form 15, Earthen Pit Report/Permit; and Form 28, Centralized E&P Waste Management Facility Permit (collectively “Permits”);
- B. Wells;
- C. Oil and Gas Locations;
- D. Oil and Gas Facilities;
- E. Off-Location Flowlines;
- F. Open Remediation projects;
- G. Unresolved Spills and Releases;
- H. Unresolved Field Inspection Reports with outstanding corrective actions;
- I. Unresolved warning letters;
- J. Unresolved Notices of Alleged Violation; and
- K. Any item listed in Rule 218.a.(1).A.-J. that is related in the Commission’s records to another Transferable Item proposed for transfer.



Form 9 Lists

- Required lists are uploaded using templates.
- “Transferrable Items” are divided in two different types: “Wells & Facilities” and “Incidents”

Wells & Facilities (Rule 218.a.(1).A. - E.)

1. Form 2, Application for Permit
2. Form 2A, Oil & Gas Location Assessment
3. Form 15, Earthen Pit Report/Permit
4. Form 28, Centralized E&P Waste Management Facility Permit
5. Wells
6. Oil and Gas Locations
7. Oil and Gas Facilities
8. Off-Location Flowlines

Incidents (Rule 218.a.(1).F. - J.)

1. Open Remediation projects
2. Unresolved Spills and Releases
3. Unresolved Field Inspection Reports with outstanding corrective actions
4. Unresolved warning letters
5. Unresolved Notices of Alleged Violation



Form 9 Intent Lists (up to 4)

1. List of Wells & Facilities, including Locations*, proposed for transfer.
2. List of Incidents proposed for transfer.
3. List of all Wells & Facilities that are related to the proposed items but will not be transferred.
4. List of all Incidents that are related to the proposed items but will not be transferred.

***IMPORTANT NOTE:** Locations no longer automatically transfer with a related Well. Locations must be listed to transfer.

There is a Separate Tab for Each List

Wells & Facilities Proposed	Incidents Proposed	Related Wells & Facilities - Not Proposed	Related Incidents - Not Proposed
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Form 9 Subsequent Lists (up to 6)

1. List of Wells & Facilities transferred.
2. List of Incidents transferred.
3. List of all Wells & Facilities that are related to the transferred items but were not transferred.
4. List of all Incidents that are related to the transferred items but were not transferred.
5. List of Wells & Facilities proposed for transfer on the Form 9 - Intent, but were not transferred.
6. List of Incidents proposed for transfer on the Form 9 - Intent, but were not transferred.

Note: Subsequent lists may include items not on the Intent lists.

There is a Separate Tab for Each List

Wells & Facilities Transferred	Incidents Transferred	Related Wells & Facilities - Not Transferred	Related Incidents - Not Transferred	Wells & Facilities Proposed - Not Transferred	Incidents Proposed - Not Transferred
--------------------------------	-----------------------	----------------------------------------------	-------------------------------------	-----------------------------------------------	--------------------------------------



Components of the "List" Tabs

Wells & Facilities Proposed

Title

Summary Section

Wells & Facilities Proposed for Transfer Summary

Wells	<input type="text" value="0"/>	Pits	<input type="text" value="0"/>	Tank Batteries	<input type="text" value="0"/>	Pipeline	<input type="text" value="0"/>	Service Site	<input type="text" value="0"/>
UIC Facility	<input type="text" value="0"/>	E&P Waste	<input type="text" value="0"/>	Land Application Site	<input type="text" value="0"/>	Location	<input type="text" value="0"/>		
Off-Location Flowline	<input type="text" value="0"/>	Domestic Tap	<input type="text" value="0"/>	Crude Oil Transfer	<input type="text" value="0"/>	Produced Water Transfer	<input type="text" value="0"/>	Others	<input type="text" value="0"/>
TTL SUBMITTED #	<input type="text" value="0"/>								

Button to Download a Blank Template

Button to Import the Data from a Completed Template

Button to View Imported Data

Button to Clear Imported Data



Form 9 - Intent: Separate Templates for Each List

Download Template - Wells & Facilities Proposed

Download Template - Incidents Proposed for Transfer

Download Template - Related Wells & Facilities Not Proposed for Transfer

Download Template - Related Incidents Not Proposed for Transfer

Templates for Intent Wells & Facilities Lists

- Proposed
- Related Not Proposed

Item #	Facility Type	API County Code	API Sequence Num	Facility ID	Location ID	Facility Name	Qtr	Qtr	Section	Township	Range	County	Mineral Owner Type	Current Operator Number	Current Operator Name
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Templates for Intent Incidents Lists

- Proposed
- Related Not Proposed

Item #	Document Number	Incident Type	Incident Date	Current Operator Number	Current Operator Name
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Form 9 - Subsequent: Separate Templates for Each List

Download Template - Wells & Facilities Transferred

Download Template - Incidents Transferred

Download Template - Related Wells & Facilities Not Transferred

Download Template - Related Incidents Not Transferred

Download Template - Wells & Facilities Proposed Not Transferred

Download Template - Incidents Proposed Not Transferred

Template for Subsequent Wells & Facilities *Transferred* List

Item #	Facility Type	API County Code	API Sequence Num	Facility ID	Location ID	Facility Name	Qtr Qtr	Section	Township	Range	County	Mineral Owner Type	Current Operator Number	Current Operator Name
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Template for Subsequent Incidents *Transferred* List

Item #	Document Number	Incident Type	Incident Date	Current Operator Number	Current Operator Name
--------	-----------------	---------------	---------------	-------------------------	-----------------------



Templates for Subsequent Wells & Facilities *Not* Transferred Lists

- Related *Not* Transferred
- Proposed *Not* Transferred

Item #	Facility Type	API County Code	API Sequence Num	Facility ID	Location ID	Facility Name	Qtr	Qtr	Section	Township	Range	County	Mineral Owner Type	Current Operator Number	Current Operator Name
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Plus:

Compliance Responsibility Operator Number	Compliance Responsibility Operator Name
----------------------------------------------	--------------------------------------------

See Rules 218.d.(1).B.ii. and iii.

Templates for Subsequent Incidents *Not* Transferred Lists

- Related *Not* Transferred
- Proposed *Not* Transferred

Item #	Document Number	Incident Type	Incident Date	Current Operator Number	Current Operator Name
--------	-----------------	---------------	---------------	----------------------------	--------------------------

Plus:

Compliance Responsibility Operator Number	Compliance Responsibility Operator Name
----------------------------------------------	--------------------------------------------

See Rules 218.d.(1).B.ii. and iii.



Form 9 Operator Tools on the COGCC Website Form 9 Instructions Page

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Overview | Enforcement | Forms | Hearings | Operator Guidance | Orders | Orphaned Well Program | Policies | Rules & Rulemaking | Well Integrity

Form 9, Transfer of Operatorship

Form Instructions

No instruction document is currently available

Data Templates

<u>Template Name</u>	<u>Instructions</u>	<u>Revision Date</u>
Form 09 Transfer of Operatorship Tools	i	



Form 9 Operator Tools on the Form 9 Instructions Page

Related Wells & Facilities

- Enter a list of Wells and/or Facilities for a report of the Wells & Facilities related to each one.

Related Incidents

- Enter a list of Wells and/or Facilities for a report of Incidents related to each one.
- **NOTE**: Due the nature of the COGIS database, this list will NOT be complete.

Operator Incidents

- Enter an Operator Number for a report of all Incidents on Slide # 15 and in Rule 218.a.(1).F - J.

Form 9, Transfer of Operatorship – Tools for Operators

These tools enable operators to search for:

- Related Wells & Facilities (including Locations)
- Related Incidents
- Incidents by Operator

Each tool has an "Export to Excel" function to facilitate the preparation of complete and accurate data templates required by the Form 9.

Related Wells & Facilities

Related Incidents

Operator Incidents



Related Wells & Facilities

EXAMPLE:
Entered 2
Location IDs as
Search Values

Form 9, Transfer of Operatorship – Related Wells & Facilities

Back

This tool provides a list of the Wells, Locations, and Facilities related to any Facility, Location, or Well entered as a "Search Value".

The tool can find the items related to multiple Facilities, Locations, and/or Wells at one time.

Enter up to 500 Facility ID Numbers and Location ID Numbers as "Search Values", separated by commas, in the first box. (e.g. 123456,654987,321654)

Enter up to 500 API Numbers as "Search Values", separated by commas, in the second box. (e.g. 123-11111,045-22222,067-33333)

Enter Search Values:

Facility/Location ID:	API Number:
334510, 431440	

Search

Multiple Search Values can be entered at one time.

- Up to 500 Facility IDs/Location IDs
- and*
- Up to 500 API Numbers

Form 9 Operator Tools on the Form 9 Instructions Page

Form 9, Transfer of Operatorship – Related Wells & Facilities

Back

This is the list of Wells, Locations, and other Facilities related to the "Search Values" provided.

Export to Excel 16 Records Found

Related Wells & Facilities Report

- Each "Item" includes all the results for one of the "Search Values"
- Results are listed alphabetically by Facility Type, including the "Search Value"
- Exports to Excel

Item	Search Value	Facility Type	API County Code	API Sequence Num	Facility ID	Location ID	Status	Status Date	Facility Name	Qtr	Qtr	Section	Township	Range	County	Inactive	Mineral Owner Type	Current Operator Number	Current Operator
1	334510	Domestic Tap			455946	334510	AC	7/12/2018 2:25:01 PM	Domestic Tap 9-5 Pad	SWNW	9	10S	94W	MESA			10433	LARAMIE ENERGY LLC	
	334510	LOCATION			334510	334510	AC	10/23/2018 10:31:23 AM	Esperanza 9-5 Pad	SWNW	9	10S	94W	MESA			10433	LARAMIE ENERGY LLC	
	334510	WELL	077	08976	282365	334510	AL	11/17/2009 12:00:00 AM	ESPERANZA RANCH 5-16	SWNW	9	10S	94W	MESA	FEE		66561	OXY USA INC	
	334510	WELL	077	08974	281937	334510	PR	10/23/2018 10:31:23 AM	ESPERANZA RANCH 9-5	SWNW	9	10S	94W	MESA	FEE		10433	LARAMIE ENERGY LLC	
	334510	WELL	077	08978	282362	334510	PR	6/17/2006 12:00:00 AM	ESPERANZA RANCH 8-8	SWNW	9	10S	94W	MESA	FEE		10433	LARAMIE ENERGY LLC	
	334510	WELL	077	08980	282376	334510	PR	4/23/2014 10:09:55 AM	ESPERANZA RANCH 9-4	SWNW	9	10S	94W	MESA	FEE		10433	LARAMIE ENERGY LLC	
	334510	WELL	077	08977	282364	334510	PR	5/16/2006 12:00:00 AM	ESPERANZA RANCH 4-13	SWNW	9	10S	94W	MESA	FEE		10433	LARAMIE ENERGY LLC	
	334510	WELL	077	08449	221847	334510	PA	5/22/2006 12:00:00 AM	ESPERANZA RANCH CORP 1	SWNW	9	10S	94W	MESA	FEE		66561	OXY USA INC	
2	431440	LOCATION			431440	431440	AC	10/1/2020 12:00:00 AM	Razor 33M Pad	SWSW	33	10N	58W	WELD			96155	WHITING OIL & GAS CORPORATION	
	431440	OFF-LOCATION FLOWLINE			465377	431440	AC	6/14/2019 2:04:16 PM	Peripheral Piping 33M Pad	SWSW	33	10N	58W	WELD			96155	WHITING OIL & GAS CORPORATION	
	431440	PIT			431441	431440	AC	1/24/2013 12:00:00 AM	Razor 33-2813H	SWSW	33	10N	58W	WELD			10608	TALLGRASS WATER WESTERN LLC	
	431440	SPILL OR RELEASE			441128	431440	CL	3/19/2015 3:07:35 PM	SPILL/RELEASE POINT	SWSW	33	10N	58W	WELD			96155	WHITING OIL & GAS CORPORATION	
	431440	WELL	123	39258	436767	431440	PR	4/22/2015 12:00:00 AM	Razor 33M-2804	SWSW	33	10N	58W	WELD	FEE		96155	WHITING OIL & GAS CORPORATION	
	431440	WELL	123	39257	436766	431440	PR	4/12/2015 12:00:00 AM	Razor 33M-2803	SWSW	33	10N	58W	WELD	FEE		96155	WHITING OIL & GAS CORPORATION	
	431440	WELL	123	39256	436765	431440	PR	4/17/2015 12:00:00 AM	Razor 33M-2801	SWSW	33	10N	58W	WELD	FEE		96155	WHITING OIL & GAS CORPORATION	
	431440	WELL	123	36579	431373	431440	PR	10/1/2020 12:00:00 AM	Razor 33-2813H	SWSW	33	10N	58W	WELD	FEE		96155	WHITING OIL & GAS CORPORATION	



Related Wells & Facilities Excel Export

Item	Search Value	Facility Type	API County Code	API Sequence Num	Facility ID	Location ID	Status	Status Date	Facility Name	Qtr Qtr
1	334510	Domestic Tap			455946	334510	AC	7/12/2018 14:25	Domestic Tap 9-5 Pad	SWNV
2	334510	LOCATION			334510	334510	AC	10/23/2018 10:31	Esperanza 9-5 Pad	SWNV
3	334510	WELL	077	08976	282365	334510	AL	11/17/2009	ESPERANZA RANCH 5-16	SWNV
4	334510	WELL	077	08974	281937	334510	PR	10/23/2018 10:31	ESPERANZA RANCH 9-5	SWNV
5	334510	WELL	077	08978	282362	334510	PR	6/17/2006	ESPERANZA RANCH 8-8	SWNV
6	334510	WELL	077	08980	282376	334510	PR	4/23/2014 10:09	ESPERANZA RANCH 9-4	SWNV
7	334510	WELL	077	08977	282364	334510	PR	5/16/2006	ESPERANZA RANCH 4-13	SWNV
8	334510	WELL	077	08449	221847	334510	PA	5/22/2006	ESPERANZA RANCH CORP 1	SWNV
9	431440	LOCATION			431440	431440	AC	10/1/2020	Razor 33M Pad	SWSW
10	431440	OFF-LOCATION FLOWLINE			465377	431440	AC	6/14/2019 14:04	Peripheral Piping 33M Pad	SWSW
11	431440	PIT			431441	431440	AC	1/24/2013	Razor 33-2813H	SWSW
12	431440	SPILL OR RELEASE			441128	431440	CL	3/19/2015 15:07	SPILL/RELEASE POINT	SWSW
13	431440	WELL	123	39258	436767	431440	PR	4/22/2015	Razor 33M-2804	SWSW
14	431440	WELL	123	39257	436766	431440	PR	4/12/2015	Razor 33M-2803	SWSW
15	431440	WELL	123	39256	436765	431440	PR	4/17/2015	Razor 33M-2801	SWSW
16	431440	WELL	123	36579	431373	431440	PR	10/1/2020	Razor 33-2813H	SWSW

Use to help prepare these lists:

- Intent - Wells & Facilities Proposed for Transfer
- Intent - Related Wells & Facilities Not Proposed for Transfer
- Subsequent - Wells & Facilities Transferred
- Subsequent - Related Wells & Facilities Not Transferred
- Subsequent - Wells & Facilities Proposed Not Transferred

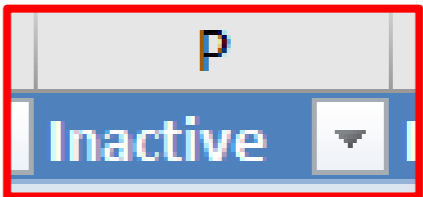
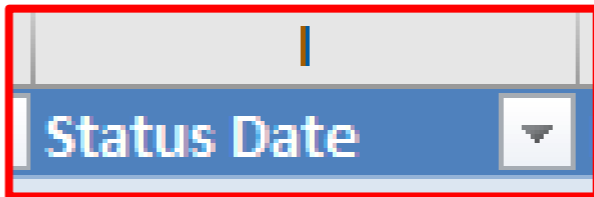
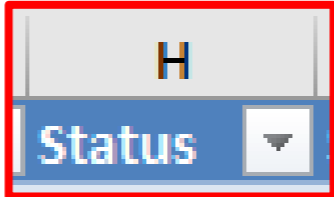
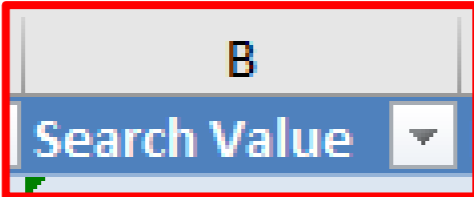
Form 9 Operator Tools on the Form 9 Instructions Page

Related Wells & Facilities Excel Export

Item	Search Value	Facility Type	API County Code	API Sequence Num	Facility ID	Location ID	Status	Status Date	Facility Name	Qtr
1	334510	Domestic Tap			455946	334510 AC		7/12/2018 14:25	Domestic Tap 9-5 Pad	SWNV
2	1 334510	LOCATION			334510	334510 AC		10/23/2018 10:31	Esperanza 9-5 Pad	SWNV
3	1 334510	WELL	077	08976	282365	334510 AL		11/17/2009	ESPERANZA RANCH 5-16	SWNV
4	1 334510	WELL	077	08974	281937	334510 PR		10/23/2018 10:31	ESPERANZA RANCH 9-5	SWNV
5	1 334510	WELL	077	08978	282362	334510 PR		6/17/2006	ESPERANZA RANCH 8-8	SWNV
6	1 334510	WELL	077	08980	282376	334510 PR		4/23/2014 10:09	ESPERANZA RANCH 9-4	SWNV
7	1 334510	WELL	077	08977	282364	334510 PR		5/16/2006	ESPERANZA RANCH 4-13	SWNV
8	1 334510	WELL	077	08449	221847	334510 PA		5/22/2006	ESPERANZA RANCH CORP 1	SWNV
9	2 431440	LOCATION			431440	431440 AC		10/1/2020	Razor 33M Pad	SWSW
10	2 431440	OFF-LOCATION FLOWLINE			465377	431440 AC		6/14/2019 14:04	Peripheral Piping 33M Pad	SWSW
11	2 431440	PIT			431441	431440 AC		1/24/2013	Razor 33-2813H	SWSW
12	2 431440	SPILL OR RELEASE			441128	431440 CL		3/19/2015 15:07	SPILL/RELEASE POINT	SWSW
13	2 431440	WELL	123	39258	436767	431440 PR		4/22/2015	Razor 33M-2804	SWSW
14	2 431440	WELL	123	39257	436766	431440 PR		4/12/2015	Razor 33M-2803	SWSW
15	2 431440	WELL	123	39256	436765	431440 PR		4/17/2015	Razor 33M-2801	SWSW
16	2 431440	WELL	123	36579	431373	431440 PR		10/1/2020	Razor 33-2813H	SWSW

CAUTION!!
BEFORE Copy & Paste into a Wells & Facilities Template

- Re-number Each Item in Column A
- Remove 4 Extra Columns: B and H and I and P



Operator Incidents

Enter the Selling Operator's Number



Form 9, Transfer of Operatorship – Operator Incidents

Back

This tool provides a list of the Incidents for any Operator.
Enter an Operator Number for a list of Incidents.

Enter Search Values:

Operator Number:

XXXXXX

Search



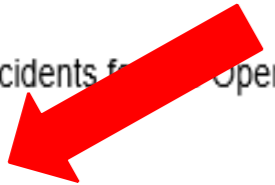
Form 9 Operator Tools on the Form 9 Instructions Page

Form 9, Transfer of Operatorship – Operator Incidents

Back

This is the list of Incidents for Operator.

Export to Excel 80 Records Found



Operator Incidents Report

- Results are listed in order by Document Number
- Exports to Excel

Item #	Document Number	Incident Type	Incident Date	Current Operator Number	Current Operator Name
1		Open Remediation Project	7/12/2013 12:00:00 AM		
2		Open Remediation Project	5/9/2014 12:00:00 AM		
3		Open Remediation Project	11/20/2014 12:00:00 AM		
4		Open Remediation Project	9/11/2015 12:00:00 AM		
5		Open Remediation Project	10/7/2014 12:00:00 AM		
6		Open Remediation Project	1/26/2016 12:00:00 AM		
7		Open Remediation Project	1/26/2016 12:00:00 AM		
8		Open Remediation Project	1/26/2016 12:00:00 AM		
9		Open Remediation Project	10/11/2016 12:00:00 AM		



Form 9 Operator Tools on the Form 9 Instructions Page

Operator Incidents Report Excel Export

Item #	Document Number	Incident Type	Incident Date	Current Operator Number	Current Operator Name
1					
2	1	Open Remediation Project	7/12/2013		
3	2	Open Remediation Project	5/9/2014		
4	3	Open Remediation Project	11/20/2014		
5	4	Open Remediation Project	9/11/2015		
6	5	Open Remediation Project	10/7/2014		
7	6	Open Remediation Project	1/26/2016		
8	7	Open Remediation Project	1/26/2016		
9	8	Open Remediation Project	1/26/2016		
10	9	Open Remediation Project	10/11/2016		
11	10	Open Remediation Project	3/17/2017 11:16		
12	11	Open Remediation Project	6/22/2017 11:21		
13	12	Open Remediation Project	9/10/2018 10:24		
14	13	Open Remediation Project	11/1/2018 16:26		
15	14	Open Remediation Project	1/18/2019 10:07		
16	15	Open Remediation Project	2/19/2020 14:14		
17	16	Open Remediation Project	12/19/2019 12:51		

Use to help prepare these lists:

- Intent - Incidents Proposed for Transfer
- Intent - Related Incidents Not Proposed for Transfer
- Subsequent - Incidents Transferred
- Subsequent - Related Incidents Not Transferred
- Subsequent - Incidents Proposed Not Transferred



Templates on the Form 9 Instructions Page

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Form 9, Transfer of Operatorship

Form Instructions

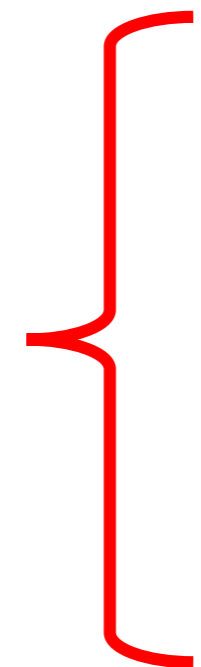
No instruction document is currently available

Data Templates

Template Name	Instructions	Revision Date
Form 9 - Transfer of Operatorship Tools	i	January 15th, 2021
Intent - Wells & Facilities Proposed for Transfer	i	January 15th, 2021
Intent - Incidents Proposed for Transfer	i	January 15th, 2021
Intent - Related Wells & Facilities Not Proposed for Transfer	i	January 15th, 2021
Intent - Related Incidents Not Proposed for Transfer	i	January 15th, 2021
Subsequent - Wells & Facilities Transferred to Buyer	i	January 15th, 2021
Subsequent - Incidents Transferred to Buyer	i	January 15th, 2021
Subsequent - Related Wells & Facilities Not Transferred to Buyer	i	January 15th, 2021
Subsequent - Related Incidents Not Transferred to Buyer	i	January 15th, 2021
Subsequent - Wells & Facilities Proposed but Not Transferred to Buyer	i	January 15th, 2021
Subsequent - Incidents Proposed but Not Transferred to Buyer	i	January 15th, 2021

Form 9 Operator Tools

Form 9 Templates



Tips for Lists

1. Always use a new template to avoid corrupting the spreadsheet file.
2. Use the Form 9 Operator Tools on the COGCC website to help create lists that are accurate and complete.
3. Use the Related Incidents list with the Operator Incidents list.
 - The Related Incidents list will not be complete.
4. Limit the size of the proposed for transfer lists (Wells & Facilities and Incidents) on each Form 9 - Intent, to no more than 150 items each.
5. Do not attach the completed templates to the Form 9s. The eForm system will create an attachment from each uploaded list.
6. Maintain the 1 to 1 correspondence between the lists on a Form 9 - Intent and the lists on the Form 9 - Subsequent submitted with for that Form 9 - Intent.
 - The Document Number of the Intent was entered in the Wizard to create the subsequent form and is then displayed on the Operators Info tab of the subsequent form.



WHO WILL ANSWER QUESTIONS?

Form 9 Operator Tools

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Form 9 Information, Attachments, Lists & Templates

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