

**COGCC 4th Quarter 2020 HB 14-1356 Report**

Operator	Operator #	NOAV Nos.	NOAV Date	Violations in Order	Penalty (Total)	Penalty Imposed on Defunct and/or Non-Responsive Operator (Y/N)	Penalty (Suspended)	Penalty Days	Daily Penalty	Aggravating Factors	Mitigating Factors	Pattern of Violation (Y/N)	Gross Negligence or Knowing & Willful misconduct (Y/N)	AOC or OFV	Other Rationale	Docket No.	Order No. (1V)	Final Order Date
1 Bargath LLC	10128	402277049	1/9/2020	324A.b; 605.d; 907.a	\$28,000	N	N	3	\$9,333	N	2	N	N	AOC	Settlement Inducement	200600159	744	10/12/2020
2 Chevron USA Inc.	16700	402287790	1/16/2020	210.b	\$36,295	N	N	62	\$585	N	N	N	N	AOC	Duration Matrix; Settlement Inducement	200800216	746	12/16/2020
3 Chevron USA Inc.	16700	402319636	2/27/2020	324A.a; 324A.b; 605.d; 907.a; 1102.c	\$31,500	N	N	5	\$6,300	N	N	N	N	AOC	Settlement Inducement	200600158	745	11/29/2020
4 Crestone Peak Resources Operating LLC	10633	402156519; 402156534; 402156546; 402156549	8/27/2019	C.R.S. 34-60-121(1)	\$15,987	N	N	1,296	\$12	N	N	N	N	AOC	Rule Reclassification; Duration Matrix	200700193	742	11/25/2020
5 Crestone Peak Resources Operating LLC	10633	402156553; 402156572; 402156579	8/27/2019	C.R.S. 34-60-121(1)	\$11,042	N	N	167	\$66	N	N	N	N	AOC	Rule Reclassification; Duration Matrix	200800214	743	11/25/2020
6 EOG Resources Inc.	97810	401781737	10/5/2018	326.b; 326.f(1)	\$163,695	N	N	847	\$193	N	N	N	N	AOC	Settlement Inducement; Duration Matrix	200200045	741	10/8/2020
7 Grand Valley Resources Inc	35095	402234395	11/8/2019	605.d.	\$5,000	N	\$4,500	1	\$5,000	N	N	N	N	AOC	Settlement Inducement	200800225	747	12/11/2020
8 HighPoint Operating Corporation	10071	402283838	1/14/2020	309; 326.b; 326.c	\$120,611	N	N	778	\$155	N	N	N	N	AOC	Duration Matrix; Settlement Inducement;	200700195	740	12/15/2020
9 Noble Energy Inc	100322	402198739; 401977180; 401977189; 401977194; 401977197;	10/24/2019	C.R.S. 34-60-121(1)	\$54,400	N	N	22	\$2,473	N	N	N	N	AOC	Duration Matrix; Settlement Inducement	200300063	736	11/30/2020
10 Sandridge Exploration & Production LLC	10598	401977201	3/19/2019	605.d.; 906.d.(2).	\$339,370	N	N	152	\$2,232	7	N	Y	N	OFV	Duration Matrix	200700191	738	12/16/2020
11 Sandridge Exploration & Production LLC	10598	402127134; 402127132; 402127126; 402127124	7/30/2019	912.b	\$449,650	N	N	78	\$5,764	N	N	N	N	AOC	Settlement Inducement; Duration Matrix	200200044	739	10/6/2020

Total Penalties Assessed

\$1,255,550

**A. Aggravating Factors**

1. The violator acted with gross negligence or knowing and willful misconduct.
2. The violation resulted in significant waste of oil and gas resources.
3. The violation had a significant negative impact on correlative rights of other parties.
4. The violator was recalcitrant or uncooperative with the Commission or other agencies in correcting or responding to the violation.
5. The violator falsified reports or records.
6. The violator benefited economically from the violation, in which case the amount of such benefit shall be taken into consideration.
7. The violator has engaged in a pattern of violations.
8. The violation led to death or serious injury.

**B. Mitigating Factors**

1. The violator self-reported the violation.
2. The violator demonstrated prompt, effective and prudent response to the violation, including assistance to any impacted parties.
3. The cause of the violation was outside of the violator's reasonable control and responsibility, or is customarily considered to be force majeure.
4. The violator made a good faith effort to comply with applicable requirements prior to the Commission learning of the violation.
5. The cost of correcting the violation reduced or eliminated any economic benefit to the violator, excluding circumstances in which increased costs stemmed from non-compliance.
6. The violator has demonstrated a history of compliance with the Act, and Commission rules, orders, and permits.